



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,361	07/10/2001	Joe Monteleone	18360/234447

CONFIRMATION NO. 8871

 000826  
 ALSTON & BIRD LLP  
 BANK OF AMERICA PLAZA  
 101 SOUTH TRYON STREET, SUITE 4000  
 CHARLOTTE, NC 28280-4000

## FORMALITIES LETTER



\*OC000000006474603\*

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/04/2002 SHINASS1 00000047 09902361

01 FC:105

130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Monteleone et al.  
Appl No.: 09/902,361  
Filed: July 10, 2001  
For: METHOD AND APPARATUS FOR COMMUNICATING  
ORDER ENTRIES IN A NETWORK ENVIRONMENT

Confirmation No.: 8871

October 29, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

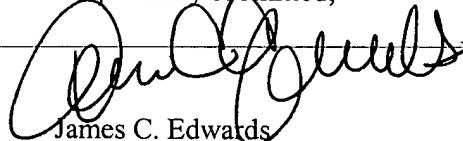
Sir:

In response to the Notice to File Missing Parts of Application dated August 27, 2001, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☒ Check in the amount of \$130.00 to cover the surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☒ Other: Submittal of Formal Drawings (44 drawing sheets)

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,



James C. Edwards

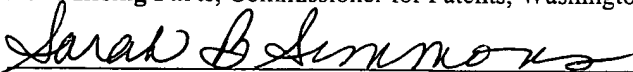
Registration No. 44,667

Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Charlotte Office (704) 444-1000  
Fax Charlotte Office (704) 444-1111

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on October 29, 2001.



Sarah B. Simmons



Attorney's Docket No. 8360/234447

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Monteleone et al.  
Appl No.: 09/902,361  
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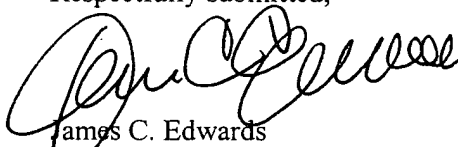
**SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. § 1.63**

Sir:

Enclosed is a Declaration and Power of Attorney for the above-identified patent application that has been executed by the named inventors.

A check in the amount of \$130 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

  
James C. Edwards  
Registration No. 44,667

**Customer No. 00826**  
**ALSTON & BIRD LLP**  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
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**CERTIFICATE OF MAILING**

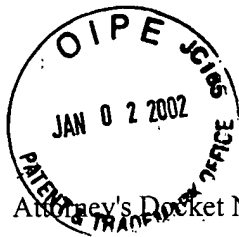
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**Box Missing Parts**, Commissioner for Patents, Washington, DC 20231, on October 29, 2001.



Sarah B. Simmons  
CLT01/4503967v1

**BEST AVAILABLE COPY**



#4

Attorney's Docket No. 18360/234447

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Monteleone et al.  
Appl No.: 09/902,361  
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October 29, 2001

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Commissioner for Patents  
Washington, DC 20231

**SUBMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed herewith one set (44 sheets) of new formal drawings. It is requested that these new drawings be substituted for the originally filed drawings.

Respectfully submitted,

James C. Edwards  
Registration No. 44,667

**Customer No. 00826**  
**ALSTON & BIRD LLP**  
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101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
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Sarah B. Simmons  
CLT01/4503990v1